



FINANCIAL LITIGATION

Financial litigation is a complex activity that requires tenacity, thoroughness and accuracy.



DUHAMEL intervenes in banking, financial and stock-market litigation as well as in disciplinary proceedings before the French Financial Markets Authority (AMF) or the Prudential Control Authority (ACP).



Our expertise allows us to advise and represent financial institutions and shareholders on complex disputes covering cross-cutting issues before different courts and financial authorities.

We assist our clients in both claim and defence at all stages of the dispute, from pretrial negotiation to trial and enforcement actions.

■ AREAS OF EXPERTISE



Thanks to their expertise in financial issues and litigation practice, DUHAMEL's lawyers advise their clients in all areas of financial law:



- **Capital Market Litigation:** the Firm represents clients in capital market litigation concerning the challenging of the Financial Market Authority decisions, breach of crossing thresholds notifications, action in concert notifications, reporting notifications, market manipulations and insider trading.
- **Financing and Market Transactions Litigation :** the Firm advises and represents clients in disputes arising from financial transactions, implementation of charges, issuance of financial instruments, etc.
- **Financial Institutions Disciplinary Proceedings:** the Firm represents clients in their disciplinary proceedings before the French Financial Markets Authority and the Prudential Control Authority (ACP) related to their banking and financial activities (regulated financial activities, solvency, money laundering, internal control, etc.).
- **Financial Services Litigation:** our teams have an extensive practice of financial and disciplinary proceedings concerning financial institutions (investment services litigation, asset management, investment advice, etc.).

■ ADVICE AND REPRESENTATION

Duhamel advises their French, European and international clients in all kind of legal services suitable to settlement of disputes, taking into account the strategic steps of financial litigation:

- **Risk evaluation:** analysis of the situation and limitation of regulatory, legal and financial risks.
- **Pre-trial:** implementation of the strategy best suited and execution of the administrative steps in order to preserve our clients' interests while avoiding conflict. Assistance in the context of control procedures or investigations conducted by the supervisory authorities of the regulated and professionals from the banking, financial and insurance sectors.
- **Alternative Dispute Resolution:** participation to the development consensual solutions and implementation of alternative dispute resolution solutions.
- **Litigation:** advice, assistance and representation of clients, both in claim and defense, in courts, arbitration or disciplinary proceedings.
- **Post-litigation:** assistance in the enforcement of judicial rulings, until the final term of the litigation.

■ RECENT DEALS

Duhamel's lawyers have recently represented their clients in several kinds of financial litigations, such as:

- Securities Litigation: advice to a group of shareholders acting in concert to control a listed company.
- Financial Litigation: advice to an investor in relation to a dispute on its investment against the issuer and the underwriter.
- Financial Transactions Litigation: representation of an investor before an arbitration court in a dispute over an investment in a real estate fund.
- Disciplinary Proceedings: represent a fund management company in a disciplinary proceedings before the Enforcement Committee of the AMF (French Financial Markets Authority).
- Stock Market Litigation: advice to a member of the executive board of a company in relation to a case of market abuse before the Enforcement Committee of the AMF).



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A blurred background image of a bronze statue of Lady Justice, the personification of the goddess of justice, holding a scale of justice and a sword.

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