

## BANKING & FINANCE

Because our clients confront on a daily base with an ever changing regulatory environment, DUHAMEL develops cutting-edge expertise focused on finding solutions and developing projects.



The Firm assist banking and financial institutions in all their regulated area of activities, from the most simple to the most complex and innovative transactions. We also intervene in the framework of their relations with their regulating authorities (the Financial Market Authority and the Prudential Control Authority).



### ■ INSTITUTIONAL AND PRUDENTIAL REGULATION

Our lawyers are experts in banking and finance regulations. They act in the following areas :

- **Creation and transformation of financial institutions**
- **Organisation and operation of financial institutions**
- **Banking and financial transactions abroad**
- **Prudential regulation**
- **Management of compliance and legal risks**
- **Managing relations with financial authorities in crisis situation**

### ■ BANKING AND FINANCIAL SERVICES

Duhamel has developed a recognised and esteemed experience within banking institutions and investment firms in the practice of banking and financial services regulation.

Our expertise includes the following areas :

- **Retail banking and payment services**
- **Brokerage services**
- **Obtaining regulatory authorities authorizations**
- **Structuring of complex financial product**

- Marketing of banking products and financial services
- Fintech and innovative financial products
- Post-market activities

## ■ RECENT DEALS

- Advising a leading Swiss bank the restructuring of its activities in France
- Guidance of Internos Global Investors (*AUM : 2,75 millions €*) on the French aspects of its merger with Principal Financial Group (*AUM : 590 millions \$*)
- Assistance of a French bank on prudential supervision scheme
- Advising a French mutual insurance company on securities repo transactions (*over € 500 millions*)
- Advising a French industrial group in setting up an internal financing mechanism (*Around 250 millions €*)
- Setting up an Internet platform designed to allow marketin of banking and financial products of a banking group
- Assistance of a credit institution following an investigation by the regulator on its internal control and risk control system



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